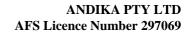


ANDIKA PTY LTD AFS Licence Number 297069

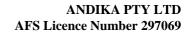
## Extracted from ASIC's database at AEST 11:37:09 on 24/09/2023

Extracted from ASIC's database at AES Current Details	111.57.09 011 24/09/2025
Name: Licence Number:	ANDIKA PTY LTD 297069
Status:	Current
ABN:	41 117 403 326
Commenced:	13/02/2006
Addresses	
Principal Business Address:	C/- ANDIKA, Unit 309, 2 Creek Street COOLANGATTA QLD 4225
Service Address:	C/- ANDIKA, Unit 309, 2 Creek Street COOLANGATTA QLD 4225
Trading Name(s)	
Name:	ANDIKA
Commenced:	13/02/2006
Roles	
Role:	Auditor of FS Licensee
Name: Commenced:	CONNECT NATIONAL AUDIT PTY LTD 02/12/2020
	02/12/2020
External Dispute Resolution	
Scheme: Membership Number:	Australian Financial Complaints Authority 44139
Commenced:	03/09/2018
Licence Authorisation Conditions	
From:	17/10/2011
Details:	1. This licence authorises the licensee to carry on a
	financial services business to:
	(a) provide financial product advice for the following
	classes of financial products:
	<ul><li>(i) deposit and payment products limited to:</li></ul>
	(A) basic deposit products;
	(ii) derivatives;
	(iii) foreign exchange contracts;
	(iv) interests in managed investment schemes
	including:
	(A) investor directed portfolio services;
	(v) securities;
	(vi) standard margin lending facility; and
	(vii) superannuation; and
	(b) deal in a financial product by:
	(i) arranging for another person to apply for,
	acquire, vary or dispose of financial products
	in respect of the following classes of
	financial products:
	(A) deposit and payment products limited to:
	(1) basic deposit products;
	(B) derivatives;
	(C) foreign exchange contracts;





(D) interests in managed investment schemes including;         (1) investor directed portfolio services;         (E) securities;         (F) standard margin lending facility; and         (C) superannuation;         to retail and wholesale clients.         From:       28/05/2010         Details:       1. This licence authorises the licensee to carry on a financial services business to:         (a) provide financial products divice for the following classes of financial products;         (i) deposit and payment products limited to:         (A) basic deposit products;         (ii) derivatives;         (iii) interests in managed investment schemes including;         (A) investor directed portfolio services;         (iv) superannuation; and         (b) deal in a financial product by:         (i) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products:         (A) deposit products;         (B) derivatives;         (C) interests in managed investment schemes including;         (J) deposit and payment products limited to:         (J) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products;         (B) derivatives;         (C) interests in managed investment schemes including;	Licence Authorisation Conditions	
<ul> <li>(1) investor directed portfolio services;</li> <li>(5) securities;</li> <li>(7) standard margin lending facility; and</li> <li>(6) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> From: 28/05/2010 Details: 1. This licence authorises the licensee to carry on a financial services business to: <ul> <li>(a) provide financial product advice for the following</li> <li>classes of financial products limited to:</li> <li>(b) deposit and payment products limited to:</li> <li>(c) superannuation;</li> <li>(ii) derivatives;</li> <li>(iii) interests in managed investment schemes including; <ul> <li>(A) investor directed portfolio services;</li> <li>(v) sucurities;</li> <li>(v) sucurities;</li> <li>(v) standard margin lending facility; and</li> <li>(v) superannuation; and</li> <li>(b) deal in a financial product by:</li> <li>(c) arranging for another person to apply for, acquire, vary or dispose of financial products:</li> <li>(b) deal in a financial products:</li> <li>(c) interests in managed investment schemes in respect of the following classes of financial products:</li> <li>(c) interests in managed investment schemes in respect of the following classes of financial products:</li> <li>(b) deal in a financial products:</li> <li>(c) interests in managed investment schemes including:</li> <li>(d) deposit products;</li> <li>(e) scientproducts;</li> <li>(f) sacid eposit products;</li> <li>(g) derivatives;</li> <li>(h) basic deposit products;</li> <li>(h) deposit products;</li> <li>(iii) interests in managed investment schemes including:</li> <li>(j) investor directed portfolio services;</li> <li>(j) securities;</li> <li>(j) securities;</li> <li>(j) scurities;</li> <li>(j) securities;</li> <li>(j) securities;</li> <li>(j) securities;</li> <li>(j) superannuation; to retail and wholesale clients.</li> </ul></li></ul>		(D) interests in managed investment schemes
<ul> <li>(1) investor directed portfolio services;</li> <li>(5) securities;</li> <li>(7) standard margin lending facility; and</li> <li>(6) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> Provide financial products diverse to carry on a financial services business to: <ul> <li>(a) provide financial product advice for the following</li> <li>classes of financial product advice for the following</li> <li>classes of financial products limited to:</li> <li>(A) deposit and payment products limited to:</li> <li>(A) basic deposit products;</li> <li>(ii) derivatives;</li> <li>(iii) interests in managed investment schemes</li> <li>including:</li> <li>(A) investor directed portfolio services;</li> <li>(iv) securities;</li> <li>(v) standard margin lending facility; and</li> <li>(v) standard margin lending facility; and</li> <li>(b) deal in a financial products limited to:</li> <li>(A) deposit products:</li> <li>(A) deposit products:</li> <li>(A) deposit and payment products limited to:</li> <li>(b) deal in a financial product by:</li> <li>(c) standard margin lending facility; and</li> <li>(b) deal in a financial products:</li> <li>(c) interests in managed investment schemes</li> <li>in respect of the following classes of financial products:</li> <li>(b) derivatives;</li> <li>(c) interests in managed investment schemes</li> <li>in cruding:</li> <li>(d) sici deposit products;</li> <li>(e) derivatives;</li> <li>(f) investor directed portfolio services;</li> <li>(g) derivatives;</li> <li>(h) basic deposit products;</li> <li>(h) basic deposit products;</li> <li>(h) sici deposit products;</li> <li>(h) securities;</li> <li>(i) investor directed portfolio services;</li> <li>(ii) investor directed portfolio services;</li> <li>(j) securities;</li> <li>(j) securities;</li> <li>(j) superannuation;</li> </ul>		including:
<ul> <li>(F) standard margin lending facility; and</li> <li>(G) superannuation; to retail and wholesale clients.</li> <li>Prom: 28005/2010</li> <li>Details: <ul> <li>A This licence authorises the licensee to carry on a financial services business to:</li> <li>(a) provide financial product advice for the following classes of financial products:</li> <li>(i) deposit and payment products limited to:</li> <li>(A) basic deposit products;</li> <li>(ii) derivatives;</li> <li>(iii) derivatives;</li> <li>(iii) interests in managed investment schemes including:</li> <li>(A) investor directed portfolio services;</li> <li>(iv) securities;</li> <li>(a) arranging for another person to apply for, acquire, vary or dispose of financial products inited to:</li> <li>(A) deposit and payment products limited to:</li> <li>(b) deal in a financial product by:</li> <li>(c) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products;</li> <li>(b) deel in a formacial products;</li> <li>(c) interests in managed investment schemes including:</li> <li>(d) deposit and payment products limited to:</li> <li>(i) basic deposit products;</li> <li>(j) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products;</li> <li>(j) basic deposit products;</li> <li>(j) deposit and payment products limited to:</li> <li>(i) basic deposit products;</li> <li>(j) interests in managed investment schemes including:</li> <li>(j) interests in managed investment schemes including:</li> <li>(j) interests in managed investment schemes including:</li> <li>(j) superannuation; to retail and wholesale clients.</li> </ul> </li> </ul>		(1) investor directed portfolio services;
(G) superannuation;         to retail and wholesale clients.         Prom:       2805/2010         Details:       1. This licence authorises the licensee to carry on a         financial services business to:       (a) provide financial product advice for the following         classes of financial products:       (i) deposit and payment products limited to:         (A) basic deposit products;       (ii) derivatives;         (iii) interests in managed investment schemes       including:         (A) investor directed portfolio services;       (iv) securities;         (v) superannuation; and       (b) deal in a financial product by:         (b) deal in a financial product by:       (i) arranging for another person to apply for, acquire, vary or dispose of financial products         (A) deposit and payment products limited to:       (b) basic deposit products;         (B) derivatives;       (C) interests in managed investment schemes         (b) deal in a financial product by:       (i) arranging for another person to apply for, acquire, vary or dispose of financial products         (C) interests in managed investment schemes       including:         (I) basic deposit products;       (B) derivatives;         (I) investor directed portfolio services;       (D) basic deposit products;         (B) derivatives;       (I) investor directed portfolio services;         (I) investor directed p		(E) securities;
to retail and wholesale clients.         From:       28/05/2010         Details:       1. This licence authorises the licensee to carry on a financial services business to:         (a) provide financial product advice for the following       classes of financial products:         (i) deposit and payment products limited to:       (A) basic deposit products;         (ii) derivatives;       (iii) interests in managed investment schemes including:         (iii) interests in managed investment schemes       (v) securities;         (v) securities;       (v) securities;         (vi) superannuation; and       (b) deal in a financial products limited to:         (b) deal in a financial product by:       (i) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products:         (A) deposit and payment products limited to:       (1) basic deposit products;         (B) derivatives;       (C) interests in managed investment schemes including:         (I) investor directed portfolio services;       (B) derivatives;         (C) interests in managed investment schemes including:       (I) investor directed portfolio services;         (I) securities;       (I) investor directed portfolio services;         (B) derivatives;       (D) securities;         (I) suce adirected portfolio services;       (I) standard margin lending facility; and		(F) standard margin lending facility; and
From:       28/05/2010         Details:       1. This licence authorises the licensee to carry on a financial services business to:         (a) provide financial product advice for the following classes of financial products limited to:         (a) basic deposit products:         (i) deposit and payment products limited to:         (A) basic deposit products;         (ii) derivatives;         (iii) interests in managed investment schemes including:         (A) investor directed portfolio services;         (iv) securities;         (v) standard margin lending facility; and         (vi) superannuation; and         (b) deal in a financial products limited to:         (i) arranging for another person to apply for,         acquire, vary or dispose of financial products         in respect of the following classes of         financial products:         (A) deposit and payment products limited to:         (1) basic deposit products;         (B) derivatives;         (C) interests in managed investment schemes including:         (1) investor directed portfolio services;         (D) interests in managed investment schemes including:         (1) investor directed portfolio services;         (D) securities;         (E) standard margin lending facility; and         (F) superannuation;		(G) superannuation;
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<ul> <li>financial services business to:</li> <li>(a) provide financial products divice for the following classes of financial products:</li> <li>(i) deposit and payment products limited to:</li> <li>(A) basic deposit products;</li> <li>(ii) derivatives;</li> <li>(iii) interests in managed investment schemes including:</li> <li>(A) investor directed portfolio services;</li> <li>(iv) securities;</li> <li>(v) standard margin lending facility; and</li> <li>(b) deal in a financial product by:</li> <li>(i) arranging for another person to apply for, acquire, vary or dispose of financial products</li> <li>(A) deposit and payment products limited to:</li> <li>(I) basic deposit products;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes including:</li> <li>(I) investor directed portfolio services;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes including:</li> <li>(I) investor directed portfolio services;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes including:</li> <li>(I) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(P) superannuation;</li> <li>to retail and wholesale clients.</li> </ul>	From:	28/05/2010
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<ul> <li>(i) deposit and payment products limited to:</li> <li>(A) basic deposit products;</li> <li>(ii) derivatives;</li> <li>(iii) interests in managed investment schemes including: <ul> <li>(A) investor directed portfolio services;</li> <li>(iv) securities;</li> <li>(v) standard margin lending facility; and</li> <li>(vi) superannuation;</li> <li>(c) arranging for another person to apply for,</li> <li>acquire, vary or dispose of financial products</li> <li>in respect of the following classes of</li> <li>financial products;</li> <li>(A) deposit and payment products limited to:</li> <li>(b) basic deposit products;</li> <li>(c) interests in managed investment schemes including:</li> <li>(c) standard margin lending facility; and</li> <li>(c) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> </li> </ul>		(a) provide financial product advice for the following
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<ul> <li>(vi) superannuation; and</li> <li>(b) deal in a financial product by: <ul> <li>(i) arranging for another person to apply for,</li> <li>acquire, vary or dispose of financial products</li> <li>in respect of the following classes of</li> <li>financial products:</li> <li>(A) deposit and payment products limited to:</li> <li>(1) basic deposit products;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes <ul> <li>including:</li> <li>(1) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> </li> </ul></li></ul>		(iv) securities;
<ul> <li>(b) deal in a financial product by:</li> <li>(i) arranging for another person to apply for,</li> <li>acquire, vary or dispose of financial products</li> <li>in respect of the following classes of</li> <li>financial products:</li> <li>(A) deposit and payment products limited to:</li> <li>(1) basic deposit products;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes</li> <li>including:</li> <li>(1) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation;</li> <li>to retail and wholesale clients.</li> </ul>		(v) standard margin lending facility; and
<ul> <li>(i) arranging for another person to apply for,</li> <li>acquire, vary or dispose of financial products</li> <li>in respect of the following classes of</li> <li>financial products:</li> <li>(A) deposit and payment products limited to:</li> <li>(1) basic deposit products;</li> <li>(B) derivatives;</li> <li>(C) interests in managed investment schemes</li> <li>including:</li> <li>(1) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation;</li> <li>to retail and wholesale clients.</li> </ul>		(vi) superannuation; and
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<ul> <li>(C) interests in managed investment schemes including:</li> <li>(1) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> From: 05/06/2009		(1) basic deposit products;
including: (1) investor directed portfolio services; (D) securities; (E) standard margin lending facility; and (F) superannuation; to retail and wholesale clients. From: 05/06/2009		(B) derivatives;
<ul> <li>(1) investor directed portfolio services;</li> <li>(D) securities;</li> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation;</li> <li>to retail and wholesale clients.</li> </ul> From: 05/06/2009		(C) interests in managed investment schemes
(D) securities; (E) standard margin lending facility; and (F) superannuation; to retail and wholesale clients. From: 05/06/2009		including:
<ul> <li>(E) standard margin lending facility; and</li> <li>(F) superannuation; to retail and wholesale clients.</li> </ul> From: 05/06/2009		(1) investor directed portfolio services;
(F) superannuation; to retail and wholesale clients. From: 05/06/2009		(D) securities;
to retail and wholesale clients. From: 05/06/2009		(E) standard margin lending facility; and
From: 05/06/2009		(F) superannuation;
		to retail and wholesale clients.
	From:	05/06/2009
Details: 1. This licence authorises the licensee to carry on a	Details:	1. This licence authorises the licensee to carry on a
financial services business to:		financial services business to:
(a) provide financial product advice for the following		(a) provide financial product advice for the following
classes of financial products:		classes of financial products:
(i) deposit and payment products limited to:		(i) deposit and payment products limited to:
(A) basic deposit products;		(A) basic deposit products;
(ii) derivatives;		(ii) derivatives;



ASIC	
Australian Securities & Investments Commission	
Licence Authorisation Conditions	
	(iii) interests
	including
	(A) invest
	(iv) securitie
	(v) superan
	(b) deal in a f
	(i) arrangin
	acquire,
	in respec
	financial
	(A) deposi

(iii) interests in managed investment schemes
including:
(A) investor directed portfolio services;
(iv) securities; and
(v) superannuation; and
(b) deal in a financial product by:
(i) arranging for another person to apply for,
acquire, vary or dispose of financial products
in respect of the following classes of
financial products:
<ul><li>(A) deposit and payment products limited to:</li><li>(1) basis deposit products:</li></ul>
(1) basic deposit products;
(B) derivatives;
(C) interests in managed investment schemes
including:
(1) investor directed portfolio services;
(D) securities; and
(E) superannuation;
to retail and wholesale clients.
From: 29/08/2007
Details: 1. This licence authorises the licensee to carry on a
financial services business to:
(a) provide financial product advice for the following
classes of financial products:
(i) deposit and payment products limited to:
(A) basic deposit products;
(ii) derivatives;
(iii) interests in managed investment schemes
including:
(A) investor directed portfolio services;
(iv) securities; and
(v) superannuation; and
(b) deal in a financial product by:
(i) arranging for another person to apply for,
acquire, vary or dispose of financial products
in respect of the following classes of
financial products:
(A) deposit and payment products limited to:
(1) basic deposit products;
(B) derivatives;
(C) interests in managed investment schemes
including:
(1) investor directed portfolio services;
(D) securities; and





Licence Authorisation Conditions	icence Authorisation Conditions		
	(E) superannuation;		
	to retail and wholesale clients.		
From:	03/05/2006		
Details:	1. This licence authorises the licensee to carry on a		
	financial services business to:		
	(a) provide financial product advice for the following		
	classes of financial products:		
	(i) deposit and payment products limited to:		
	(A) basic deposit products;		
	(ii) derivatives;		
	(iii) interests in managed investment schemes		
	including:		
	(A) investor directed portfolio services;		
	(iv) securities; and		
	(v) superannuation; and		
	(b) deal in a financial product by:		
	(i) arranging for another person to apply for,		
	acquire, vary or dispose of financial products		
	in respect of the following classes of		
	financial products:		
	(A) deposit and payment products limited to:		
	(1) basic deposit products;		
	(B) derivatives;		
	(C) interests in managed investment schemes		
	including:		
	(1) investor directed portfolio services;		
	(D) securities; and		
	(E) superannuation;		
	to retail clients.		
From:	13/02/2006		
Details:	1. This licence authorises the licensee to carry on a		
	financial services business to:		
	(a) provide financial product advice for the following		
	classes of financial products:		
	(i) deposit and payment products limited to:		
	(A) basic deposit products;		
	(ii) derivatives; and		
	(iii) securities; and		
	(b) deal in a financial product by: (i) arranging for another person to apply for		
	(i) arranging for another person to apply for,		
	acquire, vary or dispose of financial products		
	in respect of the following classes of		
	financial products:		
	(A) deposit and payment products limited to:		



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Licence Authorisation Conditions		
(1)	basic deposit products;	
(B)	derivatives; and	
(C)	securities;	
to retail clients.		

Further information relating to this Licensee may be purchased from ASIC.